

**GOVERNMENT OF KHYBER PAKHTUNKHWA  
PAKHTUNKHWA HIGHWAY AUTHORITY (PKHA) PESHAWAR**



**ADP # 1562/210769 (2022-23)  
FEASIBILITY STUDY & CONSTRUCTION OF  
BUNER KARAKAR TUNNEL ALONG WITH  
APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER**

**PRE-QUALIFICATION DOCUMENT**

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## **1.0 INTRODUCTION**

Pakhtunkhwa Highways Authority (PKHA) with the intent of successful execution of its large contracts requires that works are awarded only to firms that are suitably experienced in the similar nature of works, i.e., Construction of Tunnel, that are financially and managerially sound and that can provide all the equipment/skills required in a timely manner. For this purpose, PKHA carries out the assessment of the capacity of firms prior to being invited to participate in bidding for PKHA Projects for which they will be pre-qualified. For large works, pre-qualification among interested firms shall be carried out, where the Applicants will be encouraged to form joint venture to pool-up their resources for smooth and efficient execution of works in a professional manner.

## 2.0 INVITATION FOR PRE-QUALIFICATION

Date: \_\_\_\_\_

Contract No.(s) \_\_\_\_\_

1. The Pakhtunkhwa Highway Authority (PKHA) has been entrusted by the Government of Khyber Pakhtunkhwa (KPK) and provided funds through Annual Development Program ADP # 1562/210769 (2022-23) to finance the cost of FEASIBILITY STUDY & CONSTRUCTION OF BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER to eligible payments under the contract for which this Invitation for Pre-qualification is issued.
2. The PKHA, hereinafter the “Employer” intends to prequalify constructors for the following Works under this Project:

### A. Project Introduction

Pakhtunkhwa Highways Authority (PKHA) is planning to construct a tunnel between Swat and Buner through Karakar pass. The tunnel will serve as a shorter, alternate route to the Karaker Pass, situated between the cities of Swat and Buner. The new route will decrease the time required to travel through the Karaker Pass by about 20 minutes. The main advantage of the tunnel is that long bodied vehicles will use the tunnel whereas previously the hairpin bends on the Karaker Pass made it impossible for such vehicles to travel. It will also help alleviate traffic congestion, improve traffic safety and promote economic development.

### B. Scope of Works

Construction of tunnel and approach roads includes but not limited the following major activities:

- Excavation Method: Drill & Blast (New Austrian Tunneling Method NATM)
- Support works: Shotcrete, rock bolts, lattice girders, wire mesh, concrete lining
- Road paving: Concrete paving
- Ventilation system: Jet Fan System
- Length of Tunnel : 2.10km (approx.)
- Roadway width: 7.3m (opposing two lanes)
- Safety facilities: Emergency phones, fire extinguishers, emergency bays
- Toll booth, a maintenance office, a control building, an emergency building
- Approach Roads on both sides

The Contractor shall have to do survey, investigation and detailed engineering design prior to construction/execution of the Works.

### Estimated Project Completion Period:

Detailed Engineering Design	=	06 months
Civil Works	=	36 months
Electrical and Safety Works	=	06 months

(4)

3. It is expected that Invitation to Bid will be issued by **09-12-2022**.
4. Pre-qualification is open to constructors/joint ventures of constructors who are registered with PEC in Category C-A with specialization code **CE-01, CE-02 & CE-06**, KPRA and with Pakhtunkhwa Highway Authority (PKHA) in required category and financial limit in accordance with specific contracts to be executed by PKHA. Applications may be submitted for pre-qualification for the above Works.
5. Appropriate category PEC registered constructors may obtain the prequalification documents from the office of the Employer, at or may request to send the same through mail at the specified address:

Pakhtunkhwa Highway Authority (PKHA)  
 Attached Department Complex,  
 Khyber Road,  
 Peshawar.  
 Tele#:091 9210963  
 Fax#:0919210434  
 Email: info@pkha.gov.pk

6. The request must clearly state “*Request for Pre-qualification Documents*” for ADP # 1562/210769 (2022-23) FEASIBILITY STUDY & CONSTRUCTION OF BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER

The documents are available for a non-refundable fee of Rs. 5000/-

The documents if requested by mail will be promptly dispatched by registered mail for which cost i.e. Rs. 5000/- will be borne by the Applicant, however, under no circumstances the Employer will be responsible for late delivery or loss of the documents so mailed.

7. A minimum requirement for pre-qualification will be to have successfully completed.

<b>Sr. No.</b>	<b>Category</b>	<b>Weightage/Marks</b>
1.	Financial Soundness	30
2.	Experience Record	35
3.	Personnel Capabilities	15
4.	Equipment Capabilities	20
	<b>Total:</b>	<b>100</b>

**Note:** *Prequalification status shall be decided on the basis of Pass/Fail. The Applicant must secure at least 70% score overall.*

8. Applications for Pre-qualification must be delivered in sealed envelopes by hand or through registered mail, to:-

Director- II  
 Pakhtunkhwa Highway Authority (PKHA)  
 Attached Department Complex,

Khyber Road,  
Peshawar  
Tele#: 091 9210963  
Fax#: 0919210434  
Email: info@pkha.gov.pk

**not later than: 09/12/2022 @ 12:00 hrs Noon**

and be clearly marked “Application for Pre-qualification for:

ADP # 1562/210769 (2022-23) FEASIBILITY STUDY & CONSTRUCTION OF  
BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050  
KM) DISTRICT BUNER

9. The PKHA reserves the right to accept or reject any/late application.
10. Applicants will be informed, in due course, of the result of the evaluation of applications. Only the firms of constructors and joint ventures prequalified under this process will be invited to bid.

## 3.0 INSTRUCTIONS TO APPLICANTS

### 3.1 Submission of Applications

- 3.1.1 Applications are invited for Pre-Qualification of Contractors in Pakhtunkhwa Highway Authority Peshawar for the following work(s). The firm or all partner of a JV must be registered with Pakhtunkhwa Highway Authority and must have valid KPRA & PEC license of required limit (having relevant codes of specialty).

ADP # 1562/210769 (2022-23) FEASIBILITY STUDY & CONSTRUCTION OF BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER

Applications for pre-qualification (one original and three (03) copies) must be received in sealed envelopes to be delivered by hand or through registered mail to:-

Pakhtunkhwa Highway Authority (PKHA)  
Attached Department Complex,  
Khyber Road,  
Peshawar  
Tele#: 091 9210963  
Fax#: 091 9210434  
Email: info@pkha.gov.pk

Not later than: **09/12/2022** and be clearly marked “Application for Pre-qualification” for Construction of

ADP # 1562/210769 (2022-23) FEASIBILITY STUDY & CONSTRUCTION OF BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER

The Employer reserves the right to accept or reject the any/late applications.

- 3.1.2 The name and mailing address of the Applicant shall be clearly marked left hand on the envelope.
- 3.1.3 The applications shall be prepared in the English language. Information in any other language shall be accomplished by its translation in English. Employer reserves the rights for Pre-qualification in case of non-compliance of the above requirement.
- 3.1.4 The Applicants must respond to all questions and provide complete information as advised in this document. Any lapses to provide essential information may result in dis-qualification of the Applicant.

## 3.2 Qualification Criteria

### 3.2.1 General

Bidders' qualification will be based on the bidder's eligibility, general and particular experience, personnel and equipment capabilities and financial position, as demonstrated by the bidder's responses in the forms attached. Sub-contractor's experience and resources shall not be taken into account in determining the bidder's compliance with the qualifying criteria. However, Joint Venture experience & resources shall be considered. Consortium or Association of firms will be considered for similar treatment as in the case of Joint Venture.

Maximum Marks are 100. Fail/pass criteria shall be used. Overall passing marks are 70 % and 50% Marks are mandatory to get in each category. Qualification of each bidder will be evaluated considering his technical and financial capabilities to undertake the contract package.

#### **Mandatory Requirements/Eligibility:**

- i. An Applicant may be an entity or any combination of entities with an existing agreement in the form of a Joint Venture. In the case of a Joint Venture,
  - a) all partners shall be jointly and severally liable; and
  - b) a Joint Venture shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the partners of the Joint Venture during the prequalification process; in the event the Joint Venture is prequalified, during the bidding process; and, in the event the Joint Venture is awarded the Contract, during contract execution.

(Attach existing JV Agreement)
- ii. An Applicant, and all partners constituting the Applicant, shall have the nationality of Islamic Republic of Pakistan. An Applicant shall be deemed to have the nationality of Pakistan if the Applicant is constituted, incorporated and operates in conformity with the provisions of the laws of Pakistan.
- iii. An Applicant shall be duly licensed by the Pakistan Engineering Council (PEC) in the C-A category with specialization code of **CE-01, CE-02 & CE-06**. In case of Joint Venture (JV), all Partners shall be duly licensed by PEC; however, the Lead Partner shall meet the aforesaid licensing requirement of C-A category with specialization code of **CE-01, CE-02 & CE-06**. In case validity of license has expired, the Applicant had applied for renewal of license before submission of its Application.

(Attach copy of valid PEC License. In case validity of license has expired, application for renewal of license along with fee receipt shall also be submitted)
- iv. An Applicant shall be registered/enlisted with Pakhtunkhwa

Highway Authority (PKHA) in required category and financial limit in accordance with specific contracts to be executed by PKHA.

- v. An Applicant shall be registered with Income Tax Department and must be on Active Taxpayer List of the Federal Board of Revenue at the time of submission of its Application. Moreover, the Applicant shall have valid registration with KPRA.

(Attach copy of Income Tax/FBR registration, Extract of Active Tax Payer List (ATL) and tax returns for last three years).

- vi. An Applicant shall not have been black listed or debarred by any Governmental or semi-Governmental Organization/Department or a foreign country, international organization or other foreign institutions.

(The Applicant shall submit an undertaking in this regard on Rs.100 stamp paper attested by Notary Public.)

- vii. Applicants shall not have a conflict of interest. All Applicants found to have a conflict of interest shall be disqualified. Applicants may be considered to be in a conflict of interest with one or more parties in the prequalification process, if any of the following apply:

- a) an Applicant participates in more than one Applications in this prequalification process, either individually or as a partner in a joint venture. This will result in the disqualification of all Applications in which the Applicant has participated;
- b) two or more Applicants partially or wholly owned or directed by common individuals, regardless of extent of their shares or interest shall not be eligible to participate in the prequalification process. Either only one such Applicant may participate or such Applicants may form a joint venture to participate as one venture; and
- c) an Applicant or its affiliated entity, participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of this Prequalification.

**Any Applicant not fulfilling any of the above mentioned mandatory requirements/Eligibility will be rejected/ disqualified straight away and no further evaluation will be considered or taken in hand for that Applicant.**

Applicants shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request.

The materials, equipment and services to be supplied under the Contract shall have as their country of origin in the countries with which Pakistan has commercial/trade relation as per applicable laws of Pakistan.

### **Qualification Requirements:**

Pre-qualification will be based on the criteria given in succeeding paras 3.2.2 to 3.2.6 regarding the Applicant's Financial Soundness, Experience Record, Personnel Capabilities and Equipment Capabilities as demonstrated by the Applicant's responses in the forms attached to this letter. The Employer reserves the right to waive minor deviations, if these don't materially affect the

capability of an applicant to perform the contract. Sub-contractor's experience and resources shall not be taken into account in determining the Applicant's compliance with the qualifying criteria. However, Joint Venture experience & resources shall be considered. Consortium or Association of firms will be considered for similar treatment as in case of Joint Venture. The PQ criteria is given as under:

Sr. No.	Category	Weightage / Marks
1.	Financial Soundness	30
2.	Experience Record	35
3.	Personnel Capabilities	15
4.	Equipment Capabilities	20
	<b>Total:</b>	<b>100</b>

**Note:** Prequalification status shall be decided on the basis of Pass/Fail. The Applicant must secure at least 70% score overall and 50% score in each category/item.

The further detailed criteria for each category is given under the each head as follows:

### 3.0.1 Experience Record

CreditMarksforexperienceshallbeawardedonthebasisoffollowingqualifications:

Sr. No.	Description	Marks Assigned	Explanation for Marks obtained
a)	Specific Construction Experience  (No Marks are awarded for works less than specified limits.)	16	Completed One (01) project of similar size and complexity having Tunnel construction with a length of 3km or more in the role of prime contractor or JV member (but not as Sub-contractor), in last five (05) years.
b)	General Construction Experience  (No Marks are awarded for works less than specified limits.)	05	Completed One (01) Road project in last Five (05) Years in the role of prime contractor or JV member (but not as Sub-contractor) having cost of Rs. 1.7 billion.
c)	Specific Construction Experience (Work-in-Hand)  (No Marks are awarded for works less than specified limits.)	14	Work-in-Hand of at least One (01) contract of similar size and complexity having Tunnel construction with a length of 5km or more in the role of prime contractor or JV member (but not as Sub-contractor)

**Note: Work Order/Letter of Acceptance and Completion certificates of both completed and work-in-hand projects, from the relevant department(s) / organization must be provided in support. No marks will be awarded to the projects**

<b>for which Letter of Acceptance/ certificate is not provided.</b>	
<b>Total Marks Allocated</b>	<b>35</b>

**Notes:**

- (i) In case of JV, the amount of work will be worked out as per share (by value) in the JV Agreement to meet the requirement.
- (ii) The Applicant shall submit authenticated documentary evidence which include Taking over Certificate/Completion Certificate of the contracts being claimed under above mentioned criteria.

**3.0.2 Personnel Capabilities**

Credit Marks shall be awarded under this category using the following criteria:

Sr. No.	Position	Qualification	Total Work Experience [years]	Experience In Similar Work [years]	Max. Marks	Passing Marks
<b>Design Team</b>						
1	Tunnel Expert/ Tunnel Engineer (02 Nos.)	M.Sc. Geotechnical Engineering/ Engineering Geology	20	15	2	1
2	Structure Design Engineer (02 Nos.)	M.Sc. Structural Engineering	10	08	1	0.5
3	Engineering Geologist (02 Nos.)	M.Sc. Engineering Geology	10	08	1	0.5
4	Electrical/Mechanical Engineer (02 Nos.)	B.Sc. Electrical/Mechanical	10	08	1	0.5
5	SCADA & IT Specialist (02 Nos.)	B.Sc. Engineering in Respective Field	10	08	1	0.5
<b>Construction Team</b>						
1	Project Manager (02 Nos.)	M.Sc. Geotechnical Engineering/ Engineering Geology	20	10	2	1
2	Dy. Project Manager (02 Nos.)	B.Sc. Civil Engineering	12	8	1	0.5
3	Project Geologist (02 Nos.)	M.Sc. Engineering Geology	15	8	1	0.5
4	Quantity Surveyor (02 Nos.)	DAE Civil	4	4	1	0.5
5	Site Engineer/Geologist (08 Nos.)	B.Sc. Civil/Engineering Geology	5	5	1	0.5

6	Material Engineer (02 Nos.)	B.Sc. Civil/Engineering Geology	10	10	1	0.5
7	Lab Technician (04 Nos.)	DAE Civil/Geology	5	3	0.5	0.25
8	Surveyors (04 Nos.)	DAE Civil	5	3	1	0.5
9	HSE Manager (02 Nos.)	Graduate	5	3	0.5	0.25
<b>Total Marks Allocated</b>					<b>15</b>	

**Notes:**

- (i) Credit marks shall be awarded on pro-rata basis. The Applicant shall provide details of the above mentioned personnel that the Applicant considers appropriate to perform the Contract together with their academic qualifications and experience.
- (ii) The Applicant shall provide particulars of all technical staff & engineers and their agreement on stamp paper with the firm/Applicant together with their qualification. Affidavit to the effect that the said personnel or their equivalent shall be made available for this contract should also be along with their registration number of PEC (in case of graduate engineer).

**3.0.3 Equipment Capabilities**

Credit Marks shall be granted on the basis of the following criteria for various Kinds of equipment relevant for the Project:

Sr. No.	Equipment Type	Numbers Required	Max. Marks	Passing Marks
1	Drilling Jumbo Machine (at least two arms)	02	02	01
2	Shotcrete Machine	04	02	01
3	Grouting pump	04	0.5	0.25
4	Pneumatic Rock Drill Machine	20	02	01
5	Air Compressor	04	0.5	0.25
6	Heavy Duty Electric Generator	04	0.5	0.25
7	Dewatering System	02	0.5	0.25
8	Ventilation System	02	0.5	0.25
9	Survey Equipment	02	01	0.50
10	Dump Truck	16	02	01
11	Side Tilt Loader	04	0.5	0.25
12	Dozer	04	0.5	0.25
13	Grader	02	0.5	0.25
14	Excavators	04	01	0.50
15	Static /Vibratory Roller	04	01	0.50
16	Form work for concrete lining	02	01	0.50

Sr. No.	Equipment Type	Numbers Required	Max. Marks	Passing Marks
17	Asphalt Batch Plant	02	02	01
18	Concrete Batching Plant	02	02	01
<b>Total Marks Allocated</b>			<b>20</b>	

**Notes:**

- Machinery/equipment must be in good working condition.
- 100% stipulated marks will be awarded for owned machinery. Ownership of the equipment/machinery must be declared on judicial stamp paper by the Applicant(s). Proof of purchase and proof of registration (with the relevant agency) must be provided.
- 75% marks will be awarded if the machine/equipment is leased. Applicant(s) shall provide undertaking on judicial stamp paper as well as lease agreement on judicial stamp paper duly notarized between the lessee & lessors for ensuring exclusive availability of leased equipment/machinery
- 50% marks will be awarded if the machine/equipment is rented. Applicant(s) shall provide undertaking on judicial stamp paper as well as rent agreement on judicial stamp paper duly notarized between the owner & hirer for ensuring exclusive availability of rented equipment/machinery.
- No marks shall be given if the Applicant(s) fail to provide judicial stamp paper of ownership documents/availability/under-taking of rented machinery whatsoever the case may be.

**3.0.4 Financial Position**

Credit Marks shall be awarded on the basis of the following criteria:

Sr. No.	Description	Marks Assigned	Criteria for Marks Obtained
a)	<u>Financial Resources</u> The Applicant must demonstrate access to, or availability of, financial resources such as liquid assets (Cash & Bank balances and marketable securities only), unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet the cash flow requirements for this contract, net of his current commitments.	15	2.0 billion and above = 15 Marks 1.0 to less than 2.0 billion = 7.5 Marks Below 1.0 billion = 0 Marks  (Passing marks to be obtained = 7.5 Marks)

b)	<u>Average Annual Construction Turnover</u> Average annual construction turnover calculated as total certified payments received for contracts in progress or completed, within the last five (5) years.  The Applicant shall submit separate yearly audited financial statements for the last five (05) years.	15	6.0 billion and above = 15 Marks 3.0 to less than 6.0 billion = 7.5 Marks Below 3.0 billion = 0 Marks  (Passing marks to be obtained = 7.5 Marks)
<b>Total Marks Allocated</b>			<b>30</b>

### 3.1 Joint Venture (JV)

3.1.1 Joint Venture must comply with the following requirements:-

- a) Following are minimum qualification requirements:-
  - i) The lead partner shall meet not less than 50 percent of all qualifying criteria given in paras 3.2.1 and 3.2.5 heretofore.
  - ii) Each of the partners shall meet not less than 25 percent of all the qualifying criteria given in paras 3.2.1 and 3.2.5 heretofore.
  - iii) The joint venture must collectively satisfy the criteria of paras 3.2,1 3.2.3 and 3.2.4, for which purpose the relevant figures for each of the partners shall be added together to arrive at the JV's total capacity. Individual members must satisfy each of the requirements of paras 3.5 and 3.6 heretofore.
- b) Any change in a prequalified JV after prequalification, shall be subject to the written approval of the Employer prior to the deadline for submission of bids. Such approval may be denied if:-
  - i) Partner(s) withdraw from a JV and remaining partners do not meet the qualifying requirements;
  - ii) The new partners to a JV are not qualified individually or as another JV; or
  - iii) In the opinion of the Employer, a substantial reduction in competition would result.
- c) Bid shall be signed by all members in the JV so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy

of the JV agreement providing the joint and several liability with respect to the contract.

3.1.2 The prequalification of a JV does not necessarily prequalify any of its partners individually or as a partner in any other JV or association. In case of dissolution of a JV, each one of the constituent firms may prequalify if they meet all the prequalification requirements and any partner of J.V has requested/ shall request for the same and then his prequalification shall be subject to the written approval of the Employer.

### **3.2 Conflict of Interest**

3.2.1 The Applicant (including all members of a JV) must not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding documents for the project, or was proposed as Engineer for the contract, over the last five years. Any such association may result in disqualification of the Applicant.

### **3.3 Updating Prequalification Information**

3.3.1 Bidders shall be required to update the financial, personnel and equipment information used for prequalification at the time of submitting their bids, to confirm their continued compliance with the qualification criteria and verification of the information provided at the time of prequalification. A bids shall be rejected if the Applicant's qualification thresholds are no longer met at the time of bidding.

### **3.4 Other Factors**

3.4.1 Only firms and JVs that have been prequalified under this procedure shall be invited to bid. A qualified firm or a member of a qualified JV may participate only in one bid for the contract. If a firm submits more than one bid, singly or as a JV, all bids including that bidder will be rejected. This rule will not apply in respect of bids which include specialist sub-contractors who are used by more than one bidder.

3.4.2 The Employer reserves the right to:-

- a) Amend the scope and value of any contract(s) to be bid, in which event the bidder(s) will only bid among those prequalified bidders who meet the requirements of the contract(s) as amended. However the Employer has to review the disqualified bids who originally do not meet the specified criteria for Pre-qualification.
- b) Reject or accept any application; and
- c) Cancel the prequalification process and reject all applications.

The Employer shall neither be liable for any such actions nor be under any obligation to inform the Applicant of the grounds for rejection, however, may

be debriefed if solicited.

- 3.4.3 Applicants will be informed in writing by fax or mail the result of their applications and may be debriefed if solicited.

## **4.0 EVALUATIONCRITERIA**

Applicants meeting the minimum requirements mentioned in Para 3.2 besides other factors shall be considered for pre-qualification. No compromise shall be made on minimum requirements of 50% score in each category/item.

# Letter of Application

*[Letterhead paper of the Applicant, or partner responsible for a joint venture, including full postal address, telephone no., fax no., telex no., cable and e-mail address]*

Date: .....

To: .....

.....  
*[name and address of the Employer]*

Sirs,

1. Being duly authorized to represent and act on behalf of ..... (hereinafter “the Applicant”), and having reviewed and fully understood all the prequalification information provided, the undersigned hereby apply to be prequalified as a bidder for the following contract(s) under the ADP # 1562/210769 (2022-23) FEASIBILITY STUDY & CONSTRUCTION OF BUNER KARAKAR TUNNEL ALONG WITH APPROACH ROADS (LENGTH 3.050 KM) DISTRICT BUNER
2. Attached to this letter are copies of original documents defining:
  - (a) the Applicant's legal status;
  - (b) the principal place of business; and
  - (c) the place of incorporation (for applicants who are corporations); or  
the place of registration and the nationality of the owners (for applicants who are partnerships or individually-owned firms).
3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Letter of Application will also serve as

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*For applications by joint ventures, all the information requested in the prequalification documents is to be provided for the joint venture, if it already exists, and for each party to the joint venture separately. The lead partner should be clearly identified. Each partner in the joint venture shall sign the letter.*

Authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and requested by yourselves or the authorized representative to verify statements and information provided in this application, or with regard to the resources, experience, and competence of the Applicant.

4. Your Agency and its authorized representatives may contact the following persons for further information<sup>2</sup>, if needed.

<b>General and Managerial Inquiries</b>	
Contact1	Telephone1
Contact2	Telephone2

<b>Personnel Inquiries</b>	
Contact1	Telephone1
Contact2	Telephone2

<b>Technical Inquiries</b>	
Contact1	Telephone1
Contact2	Telephone2

<b>Financial Inquiries</b>	
Contact1	Telephone1
Contact2	Telephone2

5. This application is made with the full understanding that:
- (a) Bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding;
  - (b) Your Agency reserves the right to:
    - (i) amend the scope and value of any contract under this project; in such event bids will only be called from prequalified bidders who meet the revised requirements and
    - (ii) reject or accept any application, cancel the prequalification process, and reject applications; and

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<sup>2</sup>  
Application by joint ventures should provide information on a separate sheet information for each party to the application.

- (c) Your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds for actions at 5(b) here above.
- (d) Your Agency shall not be liable for consequence of, and shall be under no obligation to inform the applicant of the grounds for, actions taken under para 5(b) here above.

**Applicants who are not joint ventures should delete para 6&7 and initial the deletions.**

- 6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, to the joint venture or association. We also specify the financial commitment in terms of the percentage of the value of the (each) contract, and the responsibilities for execution of the (each) contract.
- 7. We confirm that in the event that we bid, that bid as well as any resulting contract will be
  - (a) Signed so as to legally bind all partners, jointly and severally; and
  - (b) Submitted with a Joint Venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.
- 8. The undersigned declare that the statements made and the information provided in the duly completed application are complete, true, and correct in every detail.

Signed	Signed
Name	Name
For and on behalf of (name of Applicant or lead partner of a joint venture)	For and on behalf of (name and signature of other partners of the joint venture)

## General Information

*All individual firms and each partner of a joint venture applying for prequalification are requested to complete the information in this form. Nationality information is also to be provided for foreignowners or applicants who are forming part of the Joint Ventures as required under the PEC Bye-Laws asa Partnership/JointVenture.*

*Where the Applicant proposes to use named subcontractors for critical components of the works, or for work contents in excess of 10 percent of the value of the whole works, the following information should also be supplied for the specialist subcontractor(s).*

1.	Name of Firm	
2.	Head Office Address	
3.	Telephone	Contact Person: Name: Title:
4.	Fax	Telex
5.	Place of Incorporation/Registration	Year of incorporation/registration

<b><i>NATIONALITY OF OWNERS</i></b>		
	<b><i>NAME</i></b>	<b><i>NATIONALITY</i></b>
1.		
2.		
3.		
4.		
5.		

# General Experience Record

Name of Applicant or partner of a joint venture

*All individual firms and all partners of a joint venture are requested to complete the information in this form. The information supplied should be the annual turnover of the Applicant (or each member of a joint venture), in terms of the amounts billed to clients for each year for work in progress or completed over the past five years.*

*Use a separate sheet for each partner of a joint venture.*

<b>Annual Turnover(Construction only)</b>		
<b>Year</b>	<b>Turnover (in actual currency)</b>	<b>Equivalent Rupees in Millions</b>
1.		
2.		
3.		
4.		
5.		

Application Form A-3

Page of Pages

## Joint Venture Summary

Names of all Partners of a Joint Venture	
1. Lead Partner	
2. Partner	
3. Partner	
4. Partner	
5. Partner	
6. Partner	

Total value of annual construction turnover, in terms of work billed to clients

Annual Turnover Data (Construction only; Equivalent in Pak Rupees, Millions)						
Partner	Form A-2 Page No.	Year1	Year2	Year3	Year4	Year5
1. Lead Partner						
2. Partner						
3. Partner						
4. Partner						
5. Partner						
6. Partner						
<b>Total:</b>						

**Application Form A-4**

**Page of Pages**

## Particular Experience Record

<i>Name of Applicant or partner of a joint venture</i>
--------------------------------------------------------

*To prequalify, the Applicant shall be required to pass the specified requirements applicable to this form, as set out in the Instructions to Applicants.*

*On a separate page, using the format of Application Form A-5, each applicant or partner of a Joint Venture is required to list all contracts of a value equivalent to Pak Rs.-(User/Employer to provide the amount) million, of a similar nature and complexity to the contract for which the Applicant wishes to qualify, under taken during the last five years<sup>1</sup>. The information is to be summarized, using Application Form A-5, for each contract completed or under execution by the Applicant or by each partner of a Joint Venture.*

*Where the Applicant proposes to use named subcontractor(s) for critical components of the works, or for work contents in excess of 10 percent of the value of the whole works, the information in the afore-mentioned forms should also be supplied for each specialist subcontractor.*

---

<sup>1</sup>

*Where applications are being invited for a number of contracts, suitable wording should be introduced, to allow applicants to apply for individual contracts or groups of contracts (slice and package contracts).*

**Application Form A-5**

**Page of Pages**

## Details of Contracts of Similar Nature and Complexity

*Name of Applicant or partner of a joint venture*

Use a separate sheet for each contract.

1.	Name of Contract
	Country
2.	Name of Employer
3.	Employer Address .....
4.	Nature of works and special features relevant to the contract for which the Applicant wishes to prequalify ..... .....
5.	Contract Role(Tick One) (a)Sole Contractor      (b)Sub-Contractor      (c)Partner in a Joint Venture
6.	Value of the total contract (in specified currencies) at completion, or at date of award for current contract Currency.....      Currency.....      Currency.....
7.	Equivalent in Pak/Rs.
8.	Date of Award
9.	Date of Completion
10.	Contract Duration (Years and Months) ____ Years                        ____ Months
11.	Specified Requirements <sup>1</sup> ..... ..... .....

---

<sup>1</sup>  
Insert any specific criteria required for particular operations, such as annual volume of earth moving, underground excavation, or placing concrete etc.  
(25)

**Application Form A-6**

**Page of Pages**

## Summary Sheet: Current Contract Commitments/Works in Progress

*Name of Applicant or partner of a joint venture*

*Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which substantial Completion Certificate has yet to be issued.*

<b>Name of Contract</b>	<b>Value of Outstanding work (Equivalent Pak Rs. Millions)</b>	<b>Estimated Completion Date</b>
1.		
2.		
3.		
4.		
5.		
6.		

**Application Form A-7**

**Page \_\_\_ of \_\_\_ Pages**

## Personnel Capabilities

<i>Name of Applicant</i>
--------------------------

*For specific positions essential to contract implementation, Applicants should provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience should be supplied on separate sheets using one Form for each candidate (ApplicationFormA-8).*

1.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
2.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
3.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate
4.	Title of Position
	Name of Prime Candidate
	Name of Alternate Candidate

**Application Form A-8**

**Page of Pages**

## Candidate Summary

<i>Name of Applicant</i>
--------------------------

<b>Position</b>		<b>Candidate</b> [Tick appropriate one] <input type="checkbox"/> Prime <input type="checkbox"/> Alternate
Candidate information	1.Name of Candidate	2.Date of Birth
	3.Professional Qualification	
Present employment	4.Name of employer	
	Address of employer	
	Telephone	Contact(manager/personnel officer)
	Fax	Telex
	Job title of candidate	Years with present employer

*Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.*

Month/ Dates/Years		Company/Project/Position/Relevant technical and management experience
From	To	

**Application Form A-9**

**Page of Pages**

## Equipment Capabilities

<i>Name of Applicant</i>
--------------------------

*The Applicant shall provide adequate information to demonstrate clearly that he has the capability to meet the requirements for each and all items of equipment listed in the Instructions to Applicants. A separate Form shall be prepared for each item of equipment listed in para 3.2.4 of the Instructions to Applicants, or for alternative equipment proposed by the Applicant.*

<b>Item of Equipment</b>							
Equipment information	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">1.Name of manufacturer</td> <td style="width: 50%; padding: 2px;">2.Model and power rating</td> </tr> <tr> <td style="padding: 2px;">3.Capacity</td> <td style="padding: 2px;">4.Year of manufacture</td> </tr> </table>	1.Name of manufacturer	2.Model and power rating	3.Capacity	4.Year of manufacture		
1.Name of manufacturer	2.Model and power rating						
3.Capacity	4.Year of manufacture						
Current status	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">5.Current location</td> <td style="width: 50%;"></td> </tr> <tr> <td colspan="2" style="padding: 2px;">6.Details of current commitments</td> </tr> <tr> <td colspan="2" style="padding: 2px;"> </td> </tr> </table>	5.Current location		6.Details of current commitments			
5.Current location							
6.Details of current commitments							
Source	<p>7. Indicate source of the equipment</p> <p style="text-align: center;"> <input type="checkbox"/> Owned    <input type="checkbox"/> Rented    <input type="checkbox"/> Leased                 </p>						

*Omit the following information if it is owned by the Applicant or partner.*

Owner	8.Name of owner				
	9.Address of owner				
	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">Telephone</td> <td style="width: 50%; padding: 2px;">Contact name and title</td> </tr> <tr> <td style="padding: 2px;">Fax</td> <td style="padding: 2px;">Telex</td> </tr> </table>	Telephone	Contact name and title	Fax	Telex
Telephone	Contact name and title				
Fax	Telex				
Agreement	<p>Details of rental/lease specific to the Project.</p> <p> </p> <p> </p>				

Application Form A-10

Page \_\_\_ of \_\_\_ Pages

## Financial Capability

<i>Name of Applicant or Partner of a Joint Venture</i>
--------------------------------------------------------

*Applicants, including each partner of a joint venture, should provide financial information to demonstrate that they meet the requirements stated in the Instructions to Applicants. Each applicant or partner of a joint venture must fill-in this form. If necessary, use separate sheets to provide complete banker information. A copy of the audited balance sheets should be attached.*

<b>Banker</b>	Name of banker	
	Address of banker	
	Telephone	Contact name and title
	Fax	Telex

*Summarize actual assets and liabilities in Pak Rupees (Equivalent at the current rate of exchange at the end of each year) for the previous five years, based upon known commitments, projected assets and liabilities in Pak Rupees equivalent for the next two years.*

Financial information in Pak Rs. or equivalent	Actual: previous five year					Projected: next two years	
	1	2	3	4	5	6	7
1. Total assets							
2. Current assets							
3. Total liabilities							
4. Current liabilities							
5. Profits before taxes							
6. Profits after taxes							

*Specific proposed sources of financing to meet the cash flow of the Project, net of current commitments (Instructions to Applicants, para3.2.5).*

<b>Source of financing</b>	<b>Amount (Pak Rs. or equivalent)</b>
1.	
2.	
3.	
4.	

*Attach audited financial statements for the last five years (for individual applicant or each partner of joint venture).*

*Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin in case of foreign firms.*

